



PATENT

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Signature

Applicant : Manabu Yamada, et al.
Application No. : 09/980,606
Filed : November 15, 2001

Confirmation No. 8168

Title : OPTICAL WAVEGUIDE MODULATOR EQUIPPED WITH AN
OUTPUT LIGHT MONITOR

Grp./Div. : 2883
Examiner : Dinh D. Chiem

Docket No. : 45923/A400

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97(b)(4)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
June 15, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the Foreign Patent Documents and Other Documents are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b)(4).

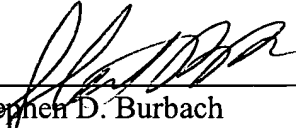
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered

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in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
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DBP/dg

Enclosures: Copy of IDS
Form PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	45923/A400
	Application Number	09/980,606
	Filing Date	November 15, 2001
	Applicant(s)	Manabu Yamada, et al.
	Group Art Unit	2883
	Examiner Name	Dinh D. Chiem

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		JP 5-34650	02-12-1993	Isomo, et al.	Corresponds to U.S. Patent 5,259,044 (previously filed)
		JP 5-53086	03-05-1993	Minoru et al.	Patent Abstract of Japan
		JP 11-194237	07-21-1999	Masaaki	Patent Abstract of Japan

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Patent Abstract of Japan, Publication No. 05-053086, Published March 5, 1993, in the name of Kiyono Minoru, et al.
		Patent Abstract of Japan, Publication No. 11-194237, Published July 21, 1999, in the name of Funahashi Masaaki.
		MIYAZAKI, N., et al. "LiNbO ₃ Optical Intensity Modulator Packaged with Monitor Photodiode." IEEE Photonics Technology Letters, Vol. 13, No. 5, May 2001, pp. 442-444.

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EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.			

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